

Privacy Policy

Shakespeare Wealth Management, Inc.® is committed to maintaining the confidentiality of your personal information and keeping your information secure. This notice is to explain how and why Shakespeare Wealth Management, Inc.® protects and utilizes your personal information.

Categories of Information We Collect

We collect nonpublic personal information about you from the following sources:

Information we receive from you on applications or other forms, such as your name, address, social security number, assets and income.

Information about your transactions with us, our affiliates, or others, such as your account balance and transactions history.

The reason we gather this information is to improve our knowledge of your financial situation and to better facilitate and service your needs.

Categories of Information We Disclose

We do not disclose any nonpublic personal information about our customers or former customers. However, we may disclose this information:

- ✓ *To our vendors and service providers who help us provide our services;*
- ✓ *In accordance with your express instructions or consent to share information with other advisors;*
- ✓ *For fraud prevention;*
- ✓ *To carry out transactions requested by you;*
- ✓ *As otherwise permitted or required by law.*

We never sell current or past client information or lists. Our privacy policy applies to current and former clients.

Information Safeguarding

Shakespeare Wealth Management, Inc.® will internally safeguard your nonpublic personal information by restricting access to only those employees who provide products or services to you or those who need access to your information to service your account. In addition, we will maintain physical, electronic and procedural safeguards that meet federal and/or state standards to guard your nonpublic personal information.

Sending E-mail to Shakespeare Wealth Management, Inc.

Shakespeare Wealth Management, Inc. strives to answer e-mail promptly. We are required by the SEC to retain all e-mail communications. We may also retain e-mail addresses for the purpose of e-mail marketing. You may request to be placed on a "Do Not Mail" list by including a statement to this effect on any e-mail correspondence, or responding 'Unsubscribe' to any group communication. Should you make this request, we will place your name on a "Do Not Mail" list, and refrain from this correspondence.